

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
PO BOX 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Kevin Price, John Giubileo and Chad Woolf for  
Inventor

AUDITING SYSTEM AND METHOD  
Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 16) including:
  - a. 4 pages of claims (12 claims)
  - b. 1 page(s) Abstract
2. X 5 sheets of    informal X formal drawings (Figs. 1-5)
3.    Oath or declaration of Applicant(s) (    total pages)
  - a.    Newly executed (original or copy)
  - b.    Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4.    Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5.    Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.    Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7.    An assignment (cover sheet & document(s))
8.    37 CFR 3.73(b) Statement (*when there is an assignee*)
9.    Power of Attorney
  - a.    Newly executed (original or copy)
  - b.    Copy from a prior application
10.    Information Disclosure Statement (IDS)/PTO-1449  
   Copies of IDS Citations

11. ☐ A Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ A small entity statement under 37 C.F.R. §1.9 and §1.27  
☐ Statement filed in prior application, status still proper and desired
14. ☐ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: \_\_\_\_\_ filed

☐ Divisional of prior application No.: \_\_\_\_\_ filed

☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ filed

15. ☐ Amend the specification by inserting before the first line of page one: --This is a ☐ continuation  
☐ divisional of application Serial No. \_\_\_\_\_ filed \_\_\_\_.--
16. ☒ The present application claims the benefit of Provisional application Nos. 60/456,748 and filed in the  
United States on March 21, 2003.
17. ☐ Other (identify)

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	12 -20	=	0	x	\$ 9	\$ 18	=	\$	\$ 0
Indep. Claims	6 - 3	=	3	x	\$ 43	\$ 86	=	\$	\$ 258
Multiple Dependent Claims Presented: Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>					\$145	\$290	=	\$	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 385	\$ 770
					Total Fee				\$ 1,028

18. X A check in the amount of \$ 1,028.00 to cover the filing fee.
19. \_\_\_ Please charge Deposit Account No. 03-3125 in the amount of \$ 40.00 for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- \_\_\_ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. \_\_\_ Other (identify)

CORRESPONDENCE ADDRESS:

RICHARD F. JAWORSKI  
Registration No. 33,515  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
United States of Americas  
Tel.: (212) 278-0400  
Fax: (212) 391-0525

Respectfully submitted,



PAUL TENG, Reg. No. 40,837  
Attorney for Applicants  
c/o Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Kevin PRICE et al.

Serial No. : Not Yet Assigned

Group Art Unit:

Date Filed : Concurrently Herewith

Examiner:

For : AUDITING SYSTEM AND METHOD

1185 Avenue of the Americas  
New York, N.Y. 10036

Commissioner for Patents  
Mail Stop PCT  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL**  
**LETTER OF TRANSMITTAL**

Express Mail mailing label number: EV325702524US

Date of Deposit: March 19, 2004

I hereby certify that the above-identified application consisting of 16-page specification, 12 claims, 5 sheets of formal drawings, 3 copies of transmittal form, and a check for \$1,028 filing fee, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Mail Room Employee  
Depositing Express Mail Material

Attorney for Applicants:  
RICHARD F. JAWORSKI, Reg. No. 33,515  
PAUL TENG, Reg. No. 40,837  
Cooper & Dunham LLP  
Tel: (212) 278-0400